

Attorney's Docket No.: 13086-002001

0300  
Box Sequence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : George Q. Daley et al.      Art Unit : 1645  
Serial No. : 09/982,223      Examiner : Unknown  
Filed : October 18, 2001  
Title : EXPRESSION VECTORS AND USES THEREOF

**BOX SEQUENCE**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCES

In response to the communication dated May 1, 2002 (copy enclosed), applicants submit herewith a Sequence Listing in computer-readable form as required by 37 CFR §1.824. In addition, applicants submit a statement under 37 CFR §1.821(f).

Applicants hereby submit that the enclosures fulfill the requirements under 37 C.F.R. §1.821-1.825. No new matter has been added.

Please apply any charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 13086-002001.

Respectfully submitted,

Date: \_\_\_\_\_

Fish & Richardson P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

20462003.doc

\_\_\_\_\_  
Louis Myers  
Reg. No. 35,965

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

July 1, 2002

Date of Deposit

Signature

\_\_\_\_\_  
Jennifer H. Payne

Typed or Printed Name of Person Signing Certificate

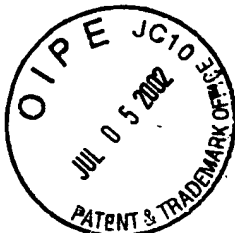


## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,223	10/18/2001	George Q. Daley	13086-002001

LOUIS MYERS  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804



CONFIRMATION NO. 7405

## FORMALITIES LETTER



\*OC000000008002603\*

Date Mailed: 05/01/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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MAR 08 2002

**CRF Problem Report**

TECH CENTER 1600/2900

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/982,223  
Filing Date: 10-18-2001  
Date Processed by STIC: 2-22-2002

STIC Contact: Mark Spencer, 703-308-4212

**Nature of Problem:**

The CRF (was):

- ☒ (circle one) Damaged or Unreadable (for Unreadable, see attached)  
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☐ Not saved in ASCII text  
☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.  
☐ Did not contain a Sequence Listing. (see attached sample)  
☐ Other: \_\_\_\_\_

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Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

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Revised 01/29/2002

01/982223

Read fault error reading drive A  
Abort, Retry, Fail?F

Read fault error reading drive A  
Abort, Retry, Fail?  
Abort, Retry, Fail?



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VERIFIED STATEMENT UNDER 37 CFR §1.821(f)

I, Jennifer H. Payne, declare that I personally prepared the paper and the computer-readable copy of the Sequence Listing filed herewith for the above-identified application, and that the content of both the paper copy of the Sequence Listing filed January 8, 2002 and the computer-readable copy of the Sequence Listing filed herewith is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:

July 1, 2002

Jennifer H. Payne

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(617) 542-5070 telephone  
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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Jennifer H. Payne

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